Post-imperial and Post-war Violence in the South Slav Lands, 1917–1923

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Abstract

This article looks at the transition of the Habsburg South Slav lands, in particular Croatia, from empire into (Yugoslav) nation-state from 1917 to 1923, and the violence which attended it. While this transition was less cataclysmic in the South Slav lands than in other parts of the former Habsburg Empire, patterns of paramilitary violence and counter-revolution similar to those elsewhere in Europe were also present here. The article looks at these patterns from a transnational perspective and shows that although state control was effectively restored in Croatia by 1923, paramilitary networks forged during 1917–23 would return as Yugoslavia faced greater external threats and internal disequilibrium in the 1930s.

The Croatian author Miroslav Krleža wrote at the end of 1918 in the Croatian capital, Zagreb, of his surprise at the rapidity of the Dual Monarchy’s demise: ‘A few days ago Austria [sic] disappeared from our little town so nonchalantly that not one of our many dear, respectable fellow-townspeople noticed that, in fact, among us, Austria was no more.’ 1 Certainly the fall of the house of Habsburg was less apocalyptic in the South Slav lands than in Austria itself, and post-imperial Croatia did not convulse in revolution and violent counter-revolution as did Hungary. But neither was the transition out of Austria-Hungary and into the Kingdom of Serbs, Croats and Slovenes (hereafter Yugoslavia) as seamless or as pacific as Krleža suggested. In fact, the breakdown of imperial authority over the course of 1918 allowed for – and was accelerated by – a state of apparent lawlessness in much of the Croatian hinterland, as so-called ‘Green Cadres’ attacked the property of large landholders and refused to go on contributing to the monarchy’s war effort. Attached to the Green Cadres were

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arguably more politicised groups of ‘returnees’ from revolutionary Russia, South Slav soldiers who hoped to restage the Bolshevik revolution in the Habsburg lands of central Europe. This unrest was in large part instigated and maintained by rank-and-file South Slav soldiers of the Habsburg army, mainly peasant conscripts. However, a smaller number of ex-Habsburg officers also saw this period of transition as an opportunity to effect their own kind of revolution, similar in conception to those in counter-revolutionary Hungary and Germany.

In order to understand fully the process of transition from empire to (Yugoslav) nation-state and the violence which attended this process, it is necessary to look beyond national boundaries and to consider this transition in a more general context. Collapse of empire, revolution and counter-revolution were European-wide phenomena during 1917–23, and they provided transnational networks, programmes and ideologies for the groups considered below. As the revolutionary moment peaked and then subsided in Europe, the efficacy of these groups also diminished, and was ultimately circumscribed by a number of local factors. These included the military superiority of the Serbian/Yugoslav army and the (short-term, at least) success of this institution in integrating former Habsburg soldiers into its officer corps, the effective policing of radical movements in Yugoslavia, and the mass popularity of a pacifist, anti-militarist agrarian movement among Croats after 1918. Nevertheless, this article will show that the violence of 1917–1923 would resurface, mutatis mutandis, as Serb–Croat relations worsened in the 1930s. In order to understand that period it is necessary to look at the violence, both actual and potential, of 1917–23. This violence grew out of the First World War, and is closely connected to the experience of South Slav soldiers in Habsburg uniform, the changing fortunes of the monarchy’s war effort, and the changing attitudes of its South Slav soldiers.

**Habsburg South Slavs during the war**

From the outset of the war, Habsburg authorities seem to have been satisfied that Croats, at least, would fight loyally and willingly for the monarchy. Although imperial authorities had been concerned about the increasing attraction of the movement for South Slav unification for the youth of Croatia, Dalmatia, and Bosnia and Herzegovina, especially following the impressive victories of the Kingdom of Serbia in the first and second Balkan wars, they were also relieved when the news of the assassination of Archduke Franz Ferdinand in Sarajevo in June 1914 led to anti-Serb riots and violence in a number of cities in Croatia and Bosnia.

Once in Habsburg uniform, the experience of South Slavs was varied. Somewhat paradoxically, the theatre in which South Slav soldiers experienced the fiercest fighting, the Italian front, was also the theatre where they seem to have fought with

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most determination.⁴ With the notable exception of the Slovene officer Ljudevit Pivko and his co-ordinated desertion to the Italians and subsequent pro-Entente propaganda work, South Slav soldiers – especially Croats and Slovenes – fought well until the very end of the war, apparently concerned with defending their hearth from widely known Italian territorial claims.⁵

The situation was more complicated on the Balkan front. Distinctions between the South Slav nationalities were of particular consequence for Austria-Hungary here, especially in ethnically heterogeneous areas such as Bosnia and Herzegovina. As in Croatia, Austro-Hungarian authorities concluded that the biggest threat to their authority came from ethnic Serbs. The governor-general of occupied Bosnia and Herzegovina (and Dalmatia) from November 1914 onwards, the Croat officer Stjepan Sarkotić, saw no contradiction between working on behalf of the monarchy and Croatian national interest in this region. Sarkotić, like a number of high-ranking Habsburg officers as well as an opposition party in the Croatian Sabor (Assembly) known as the ‘Frankists’, hoped that by fighting loyally for the Habsburgs during the war, Croats would be rewarded in a putative post-war reorganisation of the monarchy. Sarkotić oversaw surveillance and punitive measures whose intention was to break the Serbian nationalist movement, ensuring its unproblematic incorporation into the monarchy after the war.

The implementation of this policy involved internment, mainly of ethnic Serbs, but also of anti-monarchy Muslims and Croats, the organisation of paramilitary units known as the Schutzkorps, comprising mainly Muslims, and trials of Serbian political, cultural and ecclesiastical elites, culminating in the so-called ‘Banja Luka Trials’.⁶ Sarkotić’s hostility to Serbian nationalism and his dual loyalty – to both Habsburg and Croatian causes – are illustrative of the attitudes of a small section of the Croatian elite within the monarchy, mainly Habsburg officers and pro-Habsburg ‘Frankist’ deputies in the Croatian wartime Sabor. They would come to play an important role in attempts at counter-revolution during 1917–23.

In terms of understanding the ‘aftershocks’, violence and lack of order during 1917–1923, the Eastern Front is key. For a large number of soldiers on this front the war was over by June 1916, when the success of the summer offensive of the Russian general Alexei Brusilov resulted in the loss of almost a third of the Austro-Hungarian army in the east, or 750,000 soldiers, including 380,000 prisoners of war.⁷ Ivo Banac has calculated that of the 200,000 South Slav soldiers in Russian captivity over the


⁵ Mark Cornwall has found that of the seventy soldiers who crossed the line with Pivko in September 1917, most were either Serb or Czech. Mark Cornwall, The Undermining of Austria-Hungary: The Battle for Hearts and Minds (Basingstoke: Macmillan, 2000), 133.


course of the war, some 80 per cent were from the countryside, that is to say, peasant conscripts.  

Writing about the attitudes of these ‘rank and file’ soldiers in Russia and their response to the revolutions is difficult, since they are not as historically visible as, say, their officer counterparts. Yugoslav historiography since the Second World War, as well as memoir literature (and even novels and films), has tended to emphasise in the first place the number of South Slav soldiers who converted to communism before, during or immediately after October 1917 (including, of course, Josip Broz ‘Tito’, who had served as a non-commissioned officer in the Habsburg army). It is true that a significant number of South Slav POWs were gravitating towards socialism in the period after the February revolution and before the Bolshevik coup. Within the South Slav volunteer division at Odessa, for example, a sizeable number of soldiers had broken away from their commanding officers and created a ‘dissident movement’ (in March 1917) numbering 12,741 soldiers (and 149 officers). These soldiers formed well-organised councils and agitated for socialist revolution, and included a number of future luminaries of the Communist Party of Yugoslavia, such as Nikola Grulović, Nikola Kovačević and Vladimir Čopić. Tito, a prisoner of war (POW) in Russia at this time (although not associated with the volunteer movement), should also be included in a small but noteworthy list of South Slavs who became committed, life-long communists after spending time in revolutionary Russia.

The transformations in Russia at this time meant that Austria-Hungary would now face a costly ‘aftershock’ of the 1916 Brusilov Offensive. All POWs in Russia were freed by the Bolsheviks at the time of the revolution, including thousands of South Slavs captured during Brusilov’s assault. From the beginning of spring 1918 these former soldiers started to arrive home, if not died-in-the-wool Bolsheviks, then certainly unwilling to be re-mobilised into the imperial army. The actions of these returnees were ultimately fatal to Austro-Hungarian authority in the region, and contributed to an eruption of disorder and paramilitary violence towards the end of 1918.

Nevertheless, the impact of the October Revolution in the region was not immediate. Perhaps in the light of the unexpected Austro-Hungarian military success in Caparetto towards in autumn 1917, reports from local authorities on the incidences of resistance in the monarchy’s South Slav lands at the end of 1917 found nothing

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9 On this topic see Banac, ‘South Slav Prisoners’; Ferdo Čulinović, Odjeci Oktobra u jugoslavenskim knajevima (Zagreb: Izdavačko poduzeće 27. Srpanj, 1957); Nikola Grulović, Jugosloveni u ratu i Oktobarskoj revoluciji (Belgrade: Prosveta, 1962); Ivan Očak, U borbi za ideje Oktobra: jugoslavenski povratnici iz sovjetske Rusije (1918–1921) (Zagreb: Stvornost, 1976); Očak, Jugoslovenski oktobanci: likovi i sudbine (Zagreb: Školske knjiga, 1979); Očak, Banabal (Zagreb: Kajkavsko spraviše, 1978); Očak, Vojnik revolucije: život i rad VladimiraČopića (Zagreb: Spektar, 1980).

10 Banac, ‘South Slav Prisoners’, 131.
worth noting. Imperial authorities, although strained, were still in control of the situation. But in February 1918 a naval mutiny involving Czech and South Slav sailors broke out on ships stationed at the Bay of Kotor (Cattaro). Close on the heels of the sailors’ revolt came the return of POWs from revolutionary Russia, beginning in March 1918. Nervous Austro-Hungarian authorities, concerned about a ‘red wave’ of Bolshevik agitation coming out of Russia, interned these ‘returnees’ as soon as they crossed the monarchy’s frontiers, and held them in special screening camps. The desire to end the war on the part of these men proved difficult to isolate and contain, however. Soldiers who were returned to their units often had a corrosive effect on morale, agitating for an immediate end to the fighting. Such agitation resulted in more revolts in spring, this time at barracks in Styria (Judenburg) and Herzegovina (Mostar).

Many soldiers coming home from Russia simply refused to re-enlist in their regiments. They had a very simple message for their fellow countrymen about what they had seen during the revolution, as one peasant testified:

A soldier who returned from Russian captivity explained to us what the situation was in Russia. He told us how the Tsar treated the people, how the people put down their weapons, fed up with war and poverty... they told us how revolution had destroyed the old order and how the people had decided that there would be no more war. They told us how the peasants and the workers were now the rulers of Russia, and that there was no more war over there.

It was this sort of attitude that provided the catalyst for the disorder which engulfed the Croatian countryside in autumn 1918. The promise of ‘no more war’, brought back from Russia by soldiers who had witnessed the revolution there, motivated many peasants to join armed bands, or ‘Green Cadres’ in the Croatian countryside. As Richard Plaschka has noted in his discussion of conflicting ideological currents in the Habsburg army during the war, Bolshevism appealed to soldiers first and foremost because it promised to end the war.

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11 Croatian State Archives, Zagreb (Hrvatski državni arhiv, hereafter HDA), fond 78. Prešedništvo Zemaljske vlade, box 923, no. 5868.
14 Čulinović, Odjeci, 108.
Variations on the theme of ‘no more war’ can be found in reports from local authorities in the monarchy’s South Slav lands throughout 1918. In Zemun in July, for example, authorities reported on an encounter with a returnee who promised that ‘of all those returning from Russian captivity, not a single [soldier] will fight on the front, whichever front that may be’. In August, a peasant reported to authorities in Osijek (Slavonia) on a meeting he had had with two armed members of the Green Cadres. The men told him they were preparing a popular revolution similar to that in Russia, and assured him they had the weapons and the numbers to do so.

The National Council and the passage out of empire

When, in October 1918, the National Council of Serbs, Croats and Slovenes was formed in Zagreb, the monarchy had already lost de facto control of the region. However, the establishment of the National Council did not automatically create a new source of legitimacy in the region. This was because the politicians and intellectuals on the National Council were not at the vanguard of a popular revolution. In terms of numbers and weapons, the deserters, returnees and peasants who comprised the Green Cadres dwarfed the meagre forces of the National Council.

What, then, did these paramilitary groups want? Deserters and returnees, as we have seen, wanted to opt out of fighting the war, that is to say, to avoid re-enlistment. But by autumn 1918 this reluctance to fight on the part of peasants – the spark which ignited the disorder – appears to have assumed a broader social revolutionary platform, and was often directed at vestiges of the Habsburg ancien régime, and even at authority per se. Targets of the Green Cadres included members of the nobility, bureaucrats and large estates. The impotence of the National Council in the face of this violence is reflected in the pronouncements and decisions taken during its short lifespan. On the 29 October, for example, the day the Croatian Sabor severed all links with the Habsurgs, the National Council also issued a plea to soldiers formerly of the Austro-Hungarian army and the Green Cadres to submit to its authority and to stop the destruction of property: ‘Don’t destroy, don’t burn down, don’t kill, since you are destroying and burning that which is yours, soldiers!’ Apparently in response to attacks on large landholdings, the National Council even discussed and then issued a proclamation promising a ‘democratic agrarian–political reform’ which would liquidate the vestiges of feudalism in the Habsburg South Slav lands and would redistribute land to the ‘broadest layers of the agricultural population’. (In the event,
the issue of agrarian reform in Yugoslavia was not fully resolved even at the end of
the inter-war period.)

Even in Zagreb there existed resistance to the National Council. Just as the
experience of war had altered depending on when and where soldiers had fought and
which rank they held, the breakdown of military discipline and declining imperial and
rising national loyalties were not uniform among South Slavs. On the Italian Front,
for example, the crises of the hinterland corroded South Slav soldiers’ reliability
and willingness to fight for the monarchy more slowly than on other fronts.23 Stjepan
Sarkotić remained loyal to Austria-Hungary and its war effort until he received orders
directly from the Ministry of War in Vienna to hand over his troops to the Bosnian
National Council.24 Similarly, two Habsburg generals of Croat descent, Luka Šnjarić
and Mihovil Mihaljević, were unwilling to put themselves and their forces at the
disposal of the National Council until they had received instructions to do so from
Emperor Karl himself, at Schönbrunn palace.25

The changing state of imperial and national loyalties of men such as Sarkotić,
Šnjarić, Mihaljević et al., not to mention the more immediate problem of the Green
Cadres, created an atmosphere of high tension in the National Council. For example,
the council ordered the train carrying Sarkotić to Zagreb in November 1918 to be
surrounded with armed guards. Uncertain as to what the general’s intentions in the
capital were, they detained him for ten days before letting him go into self-imposed
exile, initially to Graz.26 In similar fashion, the National Council panicked when
learning of the return to Zagreb of Antun Lipošćak, the former governor-general
of occupied Poland. It was not convinced by the general’s note of 12 November,
welcoming the creation of ‘Great Yugoslavia’ and offering to put his soldiers at the
disposal of the National Council.27 It was believed instead that he intended, along
with a group of fellow officer co-conspirators, to overthrow the new regime in
Zagreb and replace it with a military dictatorship. The National Council arrested
Lipošćak and a fellow conspirator on the night of 22 November, announcing the
next day that they had thwarted a plot involving ex-Habsburg officers throughout
the country.28

The ‘Lipošćak Affair’ also provided a pretext for the leading Croatian Serb
politician in the National Council, Svetozar Pribićević, to press for unification with
the Kingdom of Serbia and with Montenegro (that is to say, to create Yugoslavia),
and to invite the Serbian army into the former Habsburg lands.29 The decision, taken
on the night of 24–25 November, was supported by an overwhelming majority in the
National Council, concerned with peasant unrest, pro-Habsburg elements and Italian

Hrvatski državniarhiv, 2008), and Milan Pajić, ed., Vjesnik naredaba Odjela za narodnu obranu Vlade
23 Cornwall, Undermining Austria-Hungary, 287–298.
25 Ferdo Čulinović, Jugoslavija izmedju dva rata, 2 vols. (Zagreb: Jugoslovenska akademija znanosti i
26 Obzor, 8 November 1918.
28 Obzor, 15 February 1919.
designs on the Adriatic littoral. Significantly, it was opposed by Croatian People’s Peasant Party leader Stjepan Radić, as well as by the pro-Habsburg ‘Frankists’, the party which had organised anti-Serb riots after the Sarajevo attentat in 1914.

The Serbian army in the former Habsburg lands

The Serbian army, on the back of liberating Serbia from Austro-Hungarian and Bulgarian occupation, came to fill the power vacuum created by the disintegration of Austro-Hungarian control of the region. Again, the different histories of the Habsburg lands during the war inform the different responses to this event throughout the Habsburg South Slav lands. For Bosnian Serbs, who had been out of favour during the war, the Serbian army was considered an army of liberation, just as it had been in Serbia. Less well-disposed towards the entrance of the army was the Muslim population of Bosnia and Herzegovina, targeted on account of their collaboration, real or perceived, with the wartime regime. Peasant unrest similar to that seen in Croatia and Slavonia assumed predominantly national contours, as Serb peasants attacked Muslim landholders throughout the country. This violence persisted after unification. In July 1920, for example, Bosnian Muslim leaders staged a rally complaining that attacks on the Muslim population continued in the new state, and that perpetrators of this violence had gone unpunished. But in Dalmatia, under the same wartime occupation as Bosnia, the Serbian army was, at least initially, welcomed by a large part of the population. Here, wartime hardship combined with the real threat of Italian expansion, generated a pro-unitarist, pro-Yugoslav sentiment.

The most important zone of conflict and resistance to the Serbian army and its efforts to gain control in the former Habsburg lands was the Croatian hinterland. Two factors are crucial in this region. First, and most importantly, are the changes in attitudes among the Croatian peasantry towards authority and centralised rule as a result of the war and the deterioration and demise of the Habsburg empire. In this sense, the period should be considered in terms of a process which began with the degradation of Habsburg authority in spring 1918 and continued with the entrance of the Serbian army at the end of the year. The new regime was struggling to impose obligations on Croatian peasants, especially paying taxes and serving in the army, which, as a result of the fall of the monarchy, came to be considered to be non-binding. Second, and a corollary of this first point, are the attempts to capitalise on

32 The Frankists distributed leaflets after the unification (1 December) claiming that the National Council had acted without the blessing of the Croatian people. See Ćulinović, Jugoslavija između dva rata, I, 157–9.
this continued mood of resistance to authority by two groups: the small vanguard of Bolshevik ‘returnees’ and their unsuccessful attempts to effect a socialist revolution in the countryside, and the Croatian Peasant Party of Stjepan Radić, who, with far greater success, encouraged pacifist resistance and non-compliance towards the new regime.

Regaining control in Croatia

Sources suggest that in Croatia the Serbian army quickly came to be perceived by the local population as an occupying force. As the American observer Leroy King warned in spring 1919, ‘The Serbian army is now scattered throughout Croatia; and there have been many acts of “militarism” which the peasants do not like. Here in Agram [Zagreb] one hears many expressions of dislike for the methods of the Serbian military administration.’\(^{36}\) The potential for unrest among the Croatian peasantry continued to be noted by the authorities. In August 1920, for example, a circular was despatched from Zagreb throughout Croatia and Slavonia warning of the deterioration of public security in Slavonia (especially Srijem) over the previous four to five months.\(^ {37}\) The circular advised the recruitment of local leaders, clergy and school teachers in a bid to impress upon the population of these areas the need for improved security, which was, after all, in the interests of everyone.\(^ {38}\)

In the official government and police records of the time the spectre of communism looms large over the Croatian countryside. Here Yugoslav authorities shared the concerns of their Habsburg predecessors. In June 1919, for example, the gendarmerie chief reported that soldiers returning from Russian captivity were spreading Bolshevism in the district and that in nearby Crkvenici, a similar ‘republican spirit’ had been observed.\(^ {39}\) In the army, short-lived revolts took place in barracks in Maribor and Varaždin (22 and 23 July 1919 respectively). In Varaždin, the rebels issued demands for a republic and for a ‘Yugoslav People’s Army’.\(^ {40}\) There were reports of a similar attempt at ‘Bolshevik insurrection’ in Osijek, which appeared to have support from Béla Kun’s Hungary. According to the authorities, it was a belief in the arrival of ‘Red Guards’ from Hungary which had provoked the uprising, rather than dissatisfaction with pay or living standards among soldiers.\(^ {41}\) In Karlovac, also in summer 1919, a commanding officer reported similar conditions in his battalion. A group of ex-POWs were agitating among conscripts in order to spread Bolshevism; he believed that conscripts would take heed of Bolshevik propaganda merely to escape from their military duties. It was because of this unwillingness to serve in the army, he felt, rather than for any ideological reasons, that Bolshevism presented a threat.\(^ {42}\)

\(^{36}\) ‘Leroy King’s Reports from Croatia March–May 1919’, *Journal of Croatian Studies*, 1 (1960), 85.


\(^{38}\) Ibid.

\(^{39}\) Ibid.


\(^{41}\) HDA, fond 78 ‘Predsjedništvo zemaljske vlade 1869–1921’, box 960.

\(^{42}\) Ibid.
last point is of critical importance. The Yugoslav authorities were essentially facing
the same problem as the Habsburg authorities before them, albeit in very different
circumstances. After 1918, just as before, hostility to centralised authority per se and
protest against material hardship were the motivating factors for resistance, rather
than strong ideological convictions among the peasantry.

This is not to say that the Bolshevik threat was entirely a fantasy. A small but
well-organised vanguard comprising ex-soldiers (Serbs, Croats and Slovenes) worked
energetically in the period after the war to establish a Bolshevik party and network for
the purpose of socialist revolution, and their activities have been well documented in
the historiography.43 Ex-soldiers such as these found transnational allies and financial
backers in Béla Kun’s short-lived Hungarian Soviet in 1919,44 and communists across
the country were successful in organising a national strike, also in 1919 (June). Communism emerged as a movement with countrywide support in the elections
to the constitutional assembly in November 1920 (the only party with significant
support among more than one national group), having already received a majority
in municipal elections in Zagreb and in Belgrade, the two most important cities in
Yugoslavia.45

However, support for communism in the Croatian countryside was more
circumscribed than the authorities imagined. Exhaustion and an increasing
unwillingness to take up arms, part of the legacy of the war, meant that Bolshevik
‘returnees’ were swimming against the post-war tide at this time. Of far greater
resonance was Stjepan Radić’s pacifist, anti-militarist message, encouraging peasants
to resist the new regime, just as they had the old. Radić appealed to peasants by
associating Yugoslavia with Austria-Hungary; both regimes had inflicted taxes and
conscription on the Croatian peasant. He interpreted the ‘occupation’ of the Croatian
countryside by the Serbian army at the end of 1918 as a return to the kind of
militarism which had been thoroughly discredited in the violence unleashed by the
war.46 Impressed by the threat of international communism, its successes elsewhere in
central Europe and the memory of the role played by ‘returnees’ in the Green Cadres,
the authorities were unable, or unwilling, to draw a clear distinction between Radić’s
anti-militarist message and Bolshevism. The two terms are often used interchangeably
in the sources, as has been shown. Peasant violence and resistance in the Croatian
countryside, then, peaked in the last days of the war and then subsided (but did not
disappear) with the end of the war and the arrival of the Serbian army. Unrest here
was closely linked to peasant attitudes towards centralised authority, a relationship that

43 Ivan Očak, ‘Povratnici iz sovjetske Rusije u borbi za stvaranje ilegalnih komunističkih organizacija
uoči prvog kongresa SRPJ (k)’, Historijski zbornik, XXVII (1974–5), 1–26; also Ivo Banac, ‘The
War and Society in East Central Europe, Vol. 13: The Effects of the World War One: The Rise of Communist
Parties (New York: Brooklyn College Press), 188–212.
44 Ivan Ramljak, ‘Afera Diamenstein’, in Zdravko Dražina, ed., Zagreb juđer, danas, sutta (Zagreb: Epoha,
1965), 207–17.
45 Results of the elections for the constituent assembly are given in Banac, National Question, 388–9.
46 Ivan Mužić, Stjepan Radić u Književini Srba, Hrvata, i Slovenaca (Zagreb: Hrvatske književno društvo
had transformed dramatically as a result of the war. It was precisely this unwillingness to co-operate with Habsburg authorities which led to an unwillingness to co-operate with Yugoslav authorities after 1918.

The Croatian counter-revolution

Paradoxically, it was a continued commitment to wartime goals that led to a small group of ex-Habsburg officers and ‘Frankists’ to organise an unsuccessful rebellion against Yugoslavia in the same period. We have seen that the National Council in Zagreb was anxious about a Kornilov-style military coup at the end of the war, taking no chances with returning generals such as Sarkotić and Lipošćak. In fact, outside observers had also warned that ex-Habsburg officers of Croat descent such as these might try to resist the new order, especially after unification with Serbia and Montenegro on 1 December. The US lieutenant Leroy King and the British major Arthur Temperley, both in Zagreb in spring 1919, reported as such to their respective governments. Under the heading ‘The Reactionaries and Discontented’, King placed ‘ex-officers of the Austrian army (Yugoslavs by blood) who have been retired because of their leanings to the old regime’, adding that such officers ‘spread pessimism and are ready to urge discontent’.47

I can imagine what the ex-Austrian officers, who glare at one from the cafes, must say about the Serbs. This growing unpopularity of the Serbian army will easily be transformed into dislike of the Serbian people and influence. It is a dislike which already exists to some extent, and Major Temperley agrees with me in thinking it a real danger.48

For his part, Major Temperley counted thirty-six retired generals and ‘500 staff or field officers’ in the neighbourhood of Zagreb, and concluded that ‘generally speaking the officers are a more active body of discontented persons than the nobles’.49

Indeed, a plot involving ex-Habsburg officers and Frankist émigrés and the formation of a ‘Croatian Committee’ and a ‘Croatian Legion’ were eventually uncovered by Yugoslav authorities over the course of 1919–20. The exact details of this plot are still unclear.50 The Croatian Committee was established in summer 1919 precisely for the purpose of effecting the kind of anti-Yugoslav revolution the authorities feared. The Croatian Committee was a paramilitary group, or at least aspired to be a paramilitary group, comprising ex-Habsburg officers and POWs (from Italian captivity) and based, eventually, in Miklós Horthy’s Hungary. According to one source, the Croatian Committee started out as a propaganda council, with the intention of calling for the withdrawal of the Serbian army from Croatia, followed by free elections. This course of action had been decided in Austria at

48 Ibid., 85.
some point in 1919, following a meeting between several ex-Habsburg officers.\footnote{According to the account of committee member turned Yugoslav police informant Emanuel Gagliardi, \textit{Istina o hrvatskom emigrantskom revolucionarnom komitetu 1919–1921} (n.p., 1922).} These émigrés maintained contacts with Habsburg legitimists in Hungary and with the Italian ambassador in Vienna,\footnote{Vuk Vinaver, \textit{Jugoslavija i Mačarska 1918–1933} (Belgrade: Institut za savremenu istoriju, 1971), 120.} both parties having an interest in using the Croats to undermine the new Yugoslav regime.

The formation of a Croatian Legion, a volunteer force based in Hungary (Koszeg, and then later Zalaegerszeg), was announced by the Committee in November 1919.\footnote{Banac, \textit{National Question}, 264.} Its chief recruiter was Stipe Dušić, a former lieutenant-colonel in the monarchy’s army and a Habsburg legitimist.\footnote{For information on Dušić see Stjepan Matković, ‘Živototip časnika Stjepana Dušića (II)’, \textit{Politilki zatvorenik}, no. 146, May 2009.} He was allowed by the Italian government to tour their POW camps garnering support for the Committee’s cause.\footnote{HDA, fond 1363, ‘Politička situacija’ box 5.} In their propaganda the committee boasted of 300,000 soldiers, although this was certainly an exaggeration designed to boost support.\footnote{Banac, \textit{National Question}, 264.} The authorities in Belgrade and in Zagreb were aware of the activities of the two bodies from a very early stage.\footnote{HDA, Fond 1363 Politička situacija’ box 5.} They supplied a figure derived from ‘various sources’ of 250 officers, with a further fifty ‘higher officers’, also noting the support of Hungarian legitimists and the existence of a spy network in Vojvodina (Novi Sad).\footnote{Ibid.} In a letter addressed to a Croatian Peasant Party deputy, Vladko Maček, and reprinted in Belgrade’s \textit{Politika} newspaper, Vladimir Sachs, a Croatian Committee member and Frankist, suggested that the actual total was nearer to 100 men.\footnote{TNA, PRO/FO 371/6194.}

Presuming that Sachs’s figure is closest to the truth, the Croatian paramilitary counter-revolution was far smaller than its counterparts in Hungary, Austria and Germany. It seems likely that these émigrés were counting on a number of other factors which might offset their lack of manpower, a consideration which has thus far not been noted in the historiography of the legion. Widespread discontent in Croatia at the unification was taken for granted by the émigrés. More specifically, it was felt that disgruntled Croatian officers and soldiers serving in the newly formed Yugoslav army would support any putative uprising against the Serbs (this assumption was made repeatedly by the Croatian radical right in the inter-war period).

Even more important for this group of officers and would-be militants was the example set by other paramilitary groups in Europe, such as the Freikorps in Germany, Gabriel D’Annunzio’s volunteer army in Fiume (Rijeka), the Szeged counter-revolutionaries in Hungary and the Austrian Heimwehr. For each of these groups the armistice of 1918 marked a new stage in the war, rather than its cessation. They provided a context and a precedent for the Croatian émigrés, and examples of the Croatian Committee seeking allies or co-operating with like-minded parties
among these groups have been well documented. The émigrés of the Croatian Committee and the Croatian Legion saw themselves as part of this ‘paramilitary subculture’ in central Europe, and it was as part of this potent subculture that they saw their greatest chance of success. Moreover, like the ex-soldiers of the Freikorps and the Heimwehr, the war veterans of the Croatian Committee believed that defeat and collapse in war could be redeemed through violence and resistance to the new order.

The regional context

Having considered the various paths of soldiers, officers and peasants out of empire and into Yugoslavia, it is now possible to integrate this interpretation of violence, revolution and counter-revolution in Croatia into a regional framework. Clearly, the violence and unrest in the region during 1917–1923 belong in a central European context, the demise of Austro-Hungarian authority from the beginning of 1918 onwards impacting also on Hungary, Austria, Transylvania, the Bohemian lands and so on. Rural unrest was also present in Hungary and in Germany during the period. The crucial role of ‘returnee’ soldiers from Russia in the nascent Communist Party of Yugoslavia points to a more general regional phenomenon; indeed, one such returnee, Béla Kun, was able to install (briefly) a revolutionary regime in Hungary, an achievement which Yugoslav communists unsuccessfully attempted to emulate, as we have seen. The émigré ex-officers who gravitated towards the Croatian Legion saw themselves as part of the European counter-revolution after 1918, the ‘White International’ responsible for so much paramilitary violence during the period. Unlike their counterparts in Hungary, Austria and Germany, however, they lacked the numbers and the political support to become a real force. The Croatian counter-revolution relied on the support of larger paramilitary groups and foreign political sponsorship (most importantly Italy), and counted on an as yet unproven revolutionary mood among the people in Croatia. In fact, the émigré officers were in a minority already, thanks to the (qualified) success of the new Yugoslav army in integrating ex-Habsburg officers into its ranks. Many career soldiers were willing to swap the Habsburg double-headed eagle for that of Karadžić, leaving behind a smaller group of unreconciled officers such as Dušić and Šarković.

60 The Interior Ministry in Belgrade reported that a number of former officers of Croatian descent were receiving food and equipment from Budapest, and that Andrássy planned to use Croatian officers in an attempt to restore Charles to the throne in Hungary. See Mira Kolar Dimitrijević, ‘Lomljene višestoljetnih veza između Hrvatske i Mađarske nakon prvog svjetskog rata’, Historijski zbornik, 47 (1995), 134–5.


63 Kiraly, War and Society.

64 On the process of creating a Yugoslav army after the war see Mile Bjelajac, Vojsko kraljevine Srba, Hrvata, i Slovenaca 1918–1921 (Belgrade: Narodna knjiga, 1988). See also Hrvoje Ćapo, ‘Broj primljenih časnika
This last point starts to answer the question as to why there was no Red/White convulsion here as there was in other parts of central Europe. A socialist revolution, such as that which established the Hungarian Soviet, must have seemed more likely. Communism after 1918 had electoral support in important urban centres, including Zagreb and Belgrade (although communist mandates were quickly annulled in these municipalities), and mass support, of a kind, in Macedonia and Montenegro.\(^{65}\) Professional revolutionaries returning from Russia could have tapped into the discontent and anti-war sentiment among the Croatian peasantry just as the Bolsheviks had done in Russia; this too would have made them a force to be reckoned with.

There are two important reasons why the communists failed in Croatia where their comrades in Hungary and Germany had succeeded. Firstly, and crucially, was the unexpected transformation of the Croatian People’s Peasant Party, under Stjepan Radić, from a small faction in the Sabor into a mass movement, a transformation which was all but complete by the time of the 1923 national elections in Yugoslavia.\(^{66}\) Crucially, Radić’s programme of agrarian populism, which enjoyed the complete support of the Croatian peasantry until his death in 1928, rejected any kind of revolutionary move against the authorities. Although Radić came to reject (like the communists) the centralised Yugoslav state and was extremely critical of the Serbian army’s presence in Croatia, his trenchant anti-militarism (a tenet of his programme which he claimed was informed by the unhappy experience of Croatian soldiers during the war) prohibited any potentially violent uprising. As he himself put it, talking about the October Revolution,

There were more than 100,000 of our people in Russia, and they saw what the greatest world revolution really was. They understood its spirit, namely, that a free peasantry be created. They supported this spirit of freedom, but they condemned the methods.\(^{67}\)

The mass appeal of Radić’s Peasant Party cut the communists off from grass-roots support in the countryside, support that they would have needed to take power.

Closer study of the reasons for the popularity of communism in Yugoslavia at the end of the First World War can also help to explain its failure to gain a foothold in Croatia. The communists’ biggest electoral successes in the elections to the Constituent Assembly in November 1920 were in Macedonia and Montenegro. But in these regions, it seems, communist strength was due more to protest voting against the new regime than to ideological affinity and support for socialist revolution. But there was no Radić or any popular peasant movement in these regions. Anti-regime voters had nowhere else to turn, whereas in Croatia Radić’s defiant attitude towards Belgrade provided an outlet for ex-soldiers and peasants whose impulse was to resist central authority.

\(^{65}\) On the electoral strength of the communists in Yugoslavia in the elections of November 1920, see Banac, *National Question*, 331.


\(^{67}\) Cited in ibid., 160.
There still remains the striking contrast between Hungary’s brief Bolshevik revolution and Croatia’s national/pacifist turn. Why did Hungarian returnees from Russia find the idea of a socialist revolution more palatable than their Croatian counterparts? Of course, Hungary lacked a popular agrarian movement akin to that of the Croatian Peasant Party. Whether the existence of such a movement would have altered the course of Hungary’s post-war history is a matter of speculation. Tibor Hajdu, in his comparative study of central European socialist revolutions during 1917–23, has noted the dominant role played by Vienna and Budapest in the Austrian and Hungarian cases. Miklós Horthy himself acknowledged the importance of ‘sinful Budapest’ in directing the Hungarian Bolshevik revolution. The same relationship of dominance and suppression did not exist between Zagreb and the Croatian countryside. On the contrary, the latter led the former, as the history of the National Council shows. The popularity of communism in Zagreb was eclipsed by the peasant movement in the countryside.

Furthermore, the communists were almost eradicated by extremely effective policing and legal measures taken against them in the period immediately after the war. The fear of a ‘red wave’ coming from revolutionary Russia, as well as a more general sense that unification was under threat in the years after 1918, helped pass the Obznana (Decree) restricting the activities of the Communist Party of Yugoslavia. The communists themselves then provoked ‘The Law for the Protection of the State’ (Zakon Zaštite države, ZZD) which criminalised the party altogether, after they assassinated a former interior minister Milorad Drašković (1921). Drašković, killed by a young communist from Bosnia named Alija Alijagić, was targeted since he had been instrumental in enforcing the Obznana. The success of the anti-communist legislation can be measured by looking at the party’s membership numbers, which declined from 80,000 in December 1920 to just 688 in December 1923, and never rose above 3,500 for the rest of the decade. As Christian Axboe Nielson has noted, the Obznana and the ZZD set important precedents for the heavily policed dictatorship of King Alexander (from 1929 until 1934), and the communists and Yugoslav authorities fought a twilight battle against each other for the rest of the inter-war period.

Conclusion: Yugoslavia after 1923 – a peaceable kingdom?

Did the period 1917–23 mark the beginning and the end of the violence and resistance associated with the demise of the empire and the transition of the region into a nation-state? It certainly seems that the combination of factors examined in this article contributed to establishing the centralised Yugoslav state of the 1920s. The preponderant force of the Serbian army suppressed a countryside disorder whose key

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68 Hajdu, ‘Socialist Revolution’, 111.
71 For a first-hand account of this battle see Milovan Đilas, Memoir of a Revolutionary (New York: Harcourt Brace Jovanovich, 1973).
reason for protest became moot once the war ended. Radić's programme of pacifist resistance dampened further chances of unrest and also cut communists adrift from their vision of a popular revolution. Legislation designed to marginalise anti-Yugoslav or radical political organisations drove this movement underground. Finally, the passing of the high-water mark of counter-revolution in central Europe extinguished the chances of a paramilitary group of ex-officers too small to act alone with any chance of success, and the integration of career officers into the new army deprived the movement of more manpower. To all extents and purposes, violent resistance was pushed to the very fringes by the end of our period.

However, Yugoslavia was to experience some aftershocks of its own, which changed the fortunes of some of these groups. In 1928, following a heated dispute in the national parliament, a Montenegrin deputy named Puniša Račić, a veteran of the First World War, shot at deputies of the Croatian Peasant Party, killing three, including (eventually) Stjepan Radić. The resulting anger in Croatia against the regime in Belgrade shifted the centre of political gravity in the region and showed that currents present in 1917–23 had been dormant rather than extinguished in the interim. The anti-militarism and pacifism of the Croatian Peasant Party became more qualified as the new leader, Vlatko Maček, provided for paramilitary units known as the Civil Guard (Gradanska zaštitna) and the Peasant Guard (Seljačka zaštitna) to protect its members at party meetings. These formations comprised mainly former Habsburg officers.72

The instillation of King Aleksandar's royal dictatorship at the beginning of 1929, promulgated following the months of parliamentary crisis brought on by the assassinations, also revived the fortunes of the Frankists and their ex-officer supporters. At some point during 1929 a leading Frankist named Ante Pavelić and an ex-Habsburg officer named Gustav Perčec formed the Insurgent-Croatian Revolutionary Organisation (Ustaša-Hrvatska Revolucionarna Organizacija, UHRO, or simply the Ustashe). Analyses of this movement which emphasise the dialectical relationship of its violence with the terror and oppression of the dictatorship (especially in Croatia)73 are at risk of ignoring its important pre-history before 1929. The small group of Frankists and ex-Habsburg officers who comprised the nucleus of the post-1929 Ustashe had pursued a radical course – one that did not exclude the use of violence or terrorism – in order to gain Croatian independence from the very beginning of the 1920s. In this sense, the Croatian Committee, with its paramilitary organisation, its ex-officer composition and its transnational network of allies that were almost identical to those of the Ustashe (Fascist Italy, Hungarian counter-revolutionaries, Macedonian autonomists) is an important prototype for the Ustashe of the 1930s. The notion that ex-Habsburg officers were gathering to form an anti-Yugoslav paramilitary formation was a recurring concern of the authorities in the 1920s.74

74 HDA, Fond 1363, ‘Politička situacija’, no. 808, a report from December 1923 in which a conversation is overheard in a Zagreb café between two men, one claiming that ‘We have three thousand former
Even more striking for its eerie anticipation of Ustasha terrorism is the report made by British intelligence in Austria and Yugoslavia in June 1922 concerning a plot to assassinate King Aleksandar at his wedding celebrations. The British claimed that the conspiracy involved the ‘Party of Independence’ (that is, the Croatian Party of Right, the Frankists) and was directed by a ‘certain Hungarian Major, Stipetitch [Vilim Stipetić]. This man was the leader of the Croatian National Committee, which functioned in Vienna in 1919, afterwards moving to Graz and finally to Budapest.’ The intended assassin for this operation was not a Croat, but a Macedonian autonomist, Marion Kilifarsky, recommended by the Bulgarian Macedonian Committee (Internal Macedonian Revolutionary Organisation, or VMRO), ‘formerly a Comitadji’, but now ‘an independent desperado’.75 In other words, in its conception the operation foreshadowed the successful assassination of King Aleksander in Marseille in October 1934, an action conceived and planned by Croatian separatists, but executed by a Macedonian gunman, leased to the Ustashe by VRMO. Future research into the Ustashe should not neglect the pre-1929 careers of the organisation’s Frankist and ex-officer members, especially their activities during 1917–1923.

Finally, the remnants of the Communist Party of Yugoslavia were well placed to endure the constraints of life under Aleksandar’s dictatorship. Because of the ZZD they had been operating outside the law since 1921, and their tiny membership had become habituated to surveillance and suppression by the state. Joined in mutual opposition to the dictatorship, the communists actually formed a tactical alliance with the Ustashe, making a distinction between the ‘fascist character’ of the movement’s leadership, and the ‘progressive national-revolutionary character’ of their few followers among the peasantry.76 It was a partnership which held until the late 1930s, but was long forgotten by most by the time the communists faced the Ustashe in the Yugoslav Civil War of 1941–5.

The relatively less intense post-war, post-imperial violence in this region should not lead to the conclusion that the transition from empire to nation-state was, with a few hiccups, ultimately successful. Clearly, many of the groups examined in this article were not reconciled to the new order during 1917–23, but were rather marginalised, often through the use of force. These marginalised groups nevertheless remained present throughout the inter-war period and were frequently able to undermine Yugoslavia, especially in the 1930s. In order to understand the trajectory of inter-war Yugoslavia, it is better not to ignore the transformations and violence of 1917–23.

officers organised, three well-prepared generals, ready to fight for independence’; ibid., no. 1048, from January 1925, the Ministry of Interior claims that a group of ex-officers are making frequent trips to Austria for ‘anti-state reasons’; ibid., no. 1320, September 1928, the Ministry of Interior cites ‘reliable sources’ that former Austro-Hungarian officers are organising, again for anti-state purposes; ibid., no. 1744, April 1929, the Ministry of Interior heard of a plot to make a revolution in Zagreb, conspirators are ‘Austro-Hungarian officers’ (this last is probably a reference to the Ustashe in exile, which Ante Pavelić was in the process of forming at the time).

75 NA, FO 371, 7679–8097.